



Practitioner's Docket No: GR 98 P 8182 D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DIVISIONAL APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of
Inventors:

REINHOLD NOE et al.

For (title):

Polarization Transformer for DC Drift-Free Polarization Transformation or Polarization Mode
Dispersion Compensation

1. Type of Application

This new application is for a

☒ Divisional.

2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)

☒ The new application being transmitted claims the benefit of prior U.S. application.
Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. Papers Enclosed

A. Required for Filing Date under 37 C.F.R. 1.53(b)

69 Pages of Specification

9 Pages of Claims

19 Sheets of Drawing

☒ Formal

B. Other Papers Enclosed

1 Page of Abstract

4. Additional Papers Enclosed

- ☒ Information Disclosure Statement (37 C.F.R. 1.98)
☒ Form PTO-1449 (PTO/SB/08A and 08B)

5. Declaration or Oath

- ☒ Enclosed
 Executed by
☒ inventors.

6. Inventorship Statement

The inventorship for all the claims in this application are:

- ☒ The same.

7. Language

- ☒ English

8. Assignment

- ☒ An assignment of the invention to Siemens Aktiengesellschaft
☒ will follow.

9. Certified Copy

Certified copies of applications

GERMANY	198 58 148.3	December 16, 1998
Country	Application No.	Filed
GERMANY	199 17 381.8	April 16, 1999
Country	Application No.	Filed
GERMANY	199 19 576.5	April 29, 1999
Country	Application No.	Filed

from which priority is claimed

- ☒ were filed in parent application No. 09/465,285

10. Small Entity Statement: Not Applicable

11. Fee Payment Being Made at This Time

☒ Enclosed
☒ Filing fee \$ 750.00
☒ Additional claim fee \$ 306.00
 Total Fees Enclosed \$1,056.00

12. Method of Payment of Fees

☒ Credit Card Form PTO 2038 is enclosed.

15. Authorization to Charge Additional Fees

☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-1099.
☒ 37 C.F.R. 1.16(a), (f) or (g) (filing fees)
☒ 37 C.F.R. 1.16(b), (c) and (d) (presentation of extra claims)
☒ 37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
☒ 37 CFR 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a)).
☒ 37 C.F.R. 1.17 (application processing fees)
☐ 37 C.F.R. 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. 1.311(b))

16. Instructions as to Overpayment

☒ Credit Account No. 12-1099.
☐ Refund

LAURENCE A. GREENBERG
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SIGNATURE OF PRACTITIONER

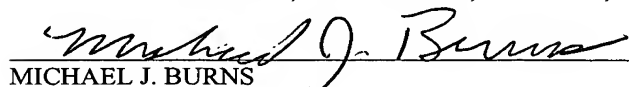
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"Express Mail" mailing label number EV 310904560 US
 Date of Deposit: July 22, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450


 MICHAEL J. BURNS

[x] Incorporation by reference of added pages

(check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED)

[x] Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed

Number of pages added 1

[x] Plus Added Pages for Papers Referred to in Item 4 Above

Number of pages added 5

[] Plus added pages deleting names of inventor(s) named on prior application(s) who is/are no longer inventor(s) of the subject matter claimed in this application.

Number of pages added _____

[] Plus "Assignment Cover Letter Accompanying New Application"

Number of pages added _____

[] Statement Where No Further Pages Added

(if no further pages form a part of this Transmittal, then end this Transmittal with this page and check the following item)

[] This transmittal ends with this page.

ADDED PAGE FOR SPECIAL COMMENTS FOR NEW APPLICATION TRANSMITTAL

This divisional application claims the benefit under 35 U.S.C. § 120 of U.S. application No. 09/465,285, filed December 16, 1999.